

BOWDITCH & DEWEY, LLP

012004

UTILITY PATENT APPLICATION TRANSMITTAL <i>Only for new nonprovisional applications under 37 C.F.R. 1.53(b)</i>		Attorney Docket No. 303606.3003-100	
		First Named Inventor or Application Identifier Julie A. Kadashevich	
		Express Mail Label No. ER 568537578	
Title of Invention		Method and System for Identifying Runaway Software Agents	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification [Total Pages 24] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to microfiche Appendix - Background of the Invention - Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 10] <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [1] 4. <input checked="" type="checkbox"/> Oath or Declaration/POA [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> [NOTE Box 5 below] i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting Inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference <i>(usable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i> 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) [] Pages c. <input type="checkbox"/> Statement verifying identity of above copies	
ACCOMPANYING APPLICATION PARTS			
8. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - International Business Machines Corporation New Orchard Road Armonk, New York 10504 9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement [] Power of Attorney <i>(when there is an assignee)</i> 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 11. <input type="checkbox"/> Information Disclosure [] Copies of IDS Statement (IDS)/PTO-1449 Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 14. <input type="checkbox"/> Small Entity [] Statement filed in prior application, Statement(s) status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i> 17. <input type="checkbox"/> Other: _____			
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner: Group Art Unit:			
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Submitted by		Reg. Number	
Typed or Printed Name			
Monica Grewal		January 20, 2004	
Monica Grewal		40,056	

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FEE TRANSMITTAL FOR PATENT APPLICATIONS	Attorney Docket Number	303606.3003-100
	Application Number	Not Assigned
	Confirmation Number	Not Assigned
	First Named Inventor	Julie A. Kadashevich

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(b) or (j))	24 - 20* =	4	x \$ 18 =	\$ 72.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))	4- 3** =	1	x \$ 86 =	\$ 86.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 290 =	\$ 0
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 770
				Total of above Calculations =	\$ 928
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 928
	Surcharge – Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				
	* Reissue claims in excess of 20 and over original patent				
	** Reissue independent claims over original patent				
	TOTAL =				\$ 928.00

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
c. ☐ is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 50-1935 for any fees required under 37 CFR §1.16 and §1.17 in order to maintain pendency of this application.
A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$928.00. ☐ Please charge \$[] to Deposit Account No. 50-1935

4. ☐ Other: _____

Signature	<i>Monica Grewal</i>	Date	<i>January 20, 2004</i>
Submitted by Typed or Printed Name	Monica Grewal	Reg. Number	40,056